



## **NEATH PORT TALBOT COUNTY BOROUGH COUNCIL**

### **CABINET**

#### **REPORT OF THE DIRECTOR OF STRATEGY & CORPORATE SERVICES**

**MR NOELWYN DANIEL**

**13<sup>th</sup> NOVEMBER 2024**

#### **Matter for Monitoring**

**Wards Affected - All**

#### **2024/2025 Risk Register Monitoring**

#### **Purpose of the report**

1. To provide Cabinet with an update on the council's strategic risks.

#### **Executive Summary**

2. The council's Corporate Risk Management Policy requires that, on a 6 monthly basis, Cabinet reviews and monitors the Strategic Risk Register. This report ensures compliance with that Policy.
3. A Risk Appetite Statement, attached at Appendix 1, has been developed in recent months and will be a key element of the council's Code of Corporate Governance framework. The strategic risk register has been updated to reflect the risk category and appetite as set out in the Risk Appetite Statement.
4. To ensure effective monitoring of the council's strategic risks, and as a result of feedback from Members, a number of improvements have been made to the risk register presented to Cabinet.
5. For ease of monitoring each risk is set out on its own page, setting out the risk description, mitigating actions, owner's comments and both the inherent and revised risk scoring. To further strengthen the risk register the set of mitigating actions had been split into risk controls and mitigating actions.

## Strategic Risks

6. The revised Strategic Risk Register is included at Appendix 2 of this report and includes 20 risks. Members should note that there have been a number of updates since the register was last reported to Cabinet. The updates have been noted below and are presented in red text within Appendix 2.

Ref:	Current Version
SR 1	<ul style="list-style-type: none"> <li>Update to owners comments</li> </ul>
SR 2	<ul style="list-style-type: none"> <li>Residual risk score reduced from 16 to 12</li> <li>Update to owners comments</li> </ul>
SR 3	<ul style="list-style-type: none"> <li>Residual risk score reduced from 20 to 15</li> </ul>
SR 4	<ul style="list-style-type: none"> <li>Update to risk control 3</li> <li>Update to owners comments</li> <li>Residual risk score reduced from 16 to 12</li> </ul>
SR 5	<ul style="list-style-type: none"> <li>No changes</li> </ul>
SR 6	<ul style="list-style-type: none"> <li>Update to owners comments</li> <li>Residual risk score reduced from 15 to 12</li> <li>Additional mitigations added as a result of incorporating SR10 into this risk</li> </ul>
SR 7	<ul style="list-style-type: none"> <li>Update to owners comments</li> </ul>
SR 8	<ul style="list-style-type: none"> <li>Team name changed from Emergency Planning Team to Civil Protection and Preparedness Team</li> </ul>
SR 9	<ul style="list-style-type: none"> <li>No changes</li> </ul>
SR 10	Merged with SR 06
SR 11	<ul style="list-style-type: none"> <li>No changes</li> </ul>
SR 12	<ul style="list-style-type: none"> <li>2 mitigation plans added</li> </ul>
SR 13	Risk de-escalated to Social Services, Health and Housing Directorate Risk Register
SR 14	<ul style="list-style-type: none"> <li>Update to owners comments</li> <li>Residual risk score reduced from 15 to 10</li> </ul>
SR 15	<ul style="list-style-type: none"> <li>No changes</li> </ul>
SR 16	<ul style="list-style-type: none"> <li>No changes</li> </ul>

SR 17	Risk de-escalated to Education, Leisure and Lifelong Learning Directorate Risk Register
SR 18	<ul style="list-style-type: none"> <li>• Update to owners comments</li> <li>• All risk controls and mitigation plans completed</li> </ul>
SR 19	<ul style="list-style-type: none"> <li>• No changes</li> </ul>
SR 20	Risk merged with SR 06

In addition, the following risks have been added to the register to cover recent local, national and global issues which could potentially impact the council, residents and businesses alike.

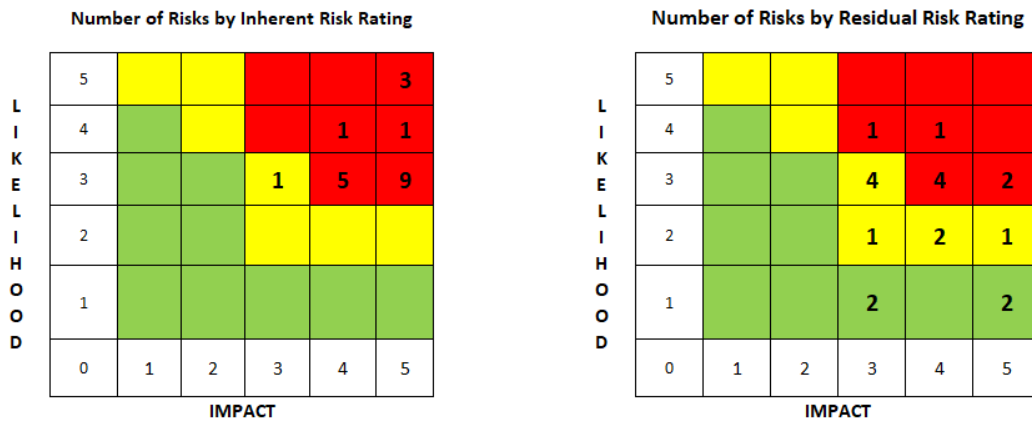
SR 21	<ul style="list-style-type: none"> <li>• <b>IF</b> the continued use of hotel accommodation to discharge the council's statutory homeless duties leads to a 'change of use' in planning terms of such premises, and such use is not assessed and/or regularised, <b>THEN</b> the council will be at risk of legal challenge.</li> </ul>
SR 22	<ul style="list-style-type: none"> <li>• <b>IF</b> council, schools and partners do not fulfil responsibilities and fail to comply with statutory duties and timescales as set out in the Additional Learning Needs Education Tribunal Wales (ALNET) Act and Code of Practice <b>THEN</b> there is potential for reputational damage and financial pressures.</li> </ul>
SR 23	Risk merged with SR 01
SR 24	<ul style="list-style-type: none"> <li>• <b>IF</b> we don't prepare for climate change impacts with partner agencies <b>THEN</b> people, property and critical infrastructure may become increasingly susceptible to coastal, fluvial and foul water flooding.</li> </ul>
SR 25	<ul style="list-style-type: none"> <li>• <b>IF</b> the council does not invest sufficient time, money and effort into decarbonisation, or appropriate technical solutions are not available, <b>THEN</b> we will not achieve 'net zero' target.</li> </ul>

### Risk Overview

7. Tables 1 and 2 below indicate the number of risks ranked low (green), medium (yellow), high (red), both inherent and residual. Table 2 demonstrates a positive shift in the number of risks which have been downgraded due to individual risk controls and mitigation plans.

8. Table 3 (Appendix 3) provides an additional overview of each risk description, the inherent and revised risk and whether there has been a change since this risk was previously reported in June 2024. The risks have also been ranked from highest revised score to lowest, to allow highest scoring risks to be identified more swiftly.

Table 1 & 2



Monitoring

9. As noted in the Corporate Risk Management Policy the Strategic Risk Register should be presented to Cabinet and Governance and Audit on a six monthly basis. The table below sets out a proposed timeline.

Quarter	CDG	Cabinet	Governance & Audit
Qtr. 2	23.10.2024	13.11.2024	29.11.2024
Qtr. 3	22.01.2025	N/A	
Qtr. 4	16.04.2025	30.04.2025	16.05.2024
Qtr. 1 (2025/2026)	16.07.2025	To be confirmed following AGM	

**Integrated Impact Assessment**

10. There is no requirement for an Integrated Impact Assessment as the report is for monitoring purposes only.

**Valleys Communities Impacts**

11. No Impact.

### **Workforce Impacts**

12. There are no workforce impacts arising from this report.

### **Legal Impacts**

13. There are no legal impacts arising from this report.

### **Risk Management Impact**

14. This report ensures compliance with the council's approved Corporate Risk Management Policy.

### **Consultation**

15. There is no requirement for external consultation on this item.

### **Recommendations**

16. It is recommended that Cabinet monitor the risks set out in Appendix 2 to this report.

### **Reason for Proposed Decision**

17. To ensure compliance with the approved Corporate Risk Management Policy.

### **Appendices**

- 18. Appendix 1 - Risk Appetite Statement
- 19. Appendix 2 - Strategic Risk Register V14
- 20. Appendix 3 - Risk Ranking Table

### **Background Papers**

21. Corporate Risk Management Policy – April 2023

### **Officer Contact**

22. For further information on this report item, please contact:

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